

+XPDQ 5HVRXUFHV 2YHUYLHZ

:HOFRPH WR WKH ,3('6 +XPDQ 5HVRXUFHV +5 VXUYH\ FRPSRQHGW 7KLV VXUYH\ FROOHFWV LPSRUWDQW LQIRUPDWLRQ DERXW
\RXU LQVWLWXWLRQ V IDFXOW\ DQG VWDII

5HFHQW FKDQJHV

1HZ RFFXSDWLRQDO FDWHJRULHV UHSODFHG WKH SULPDU\ IXQFWLRQ RFFXSDWLRQDO DFWLYLW\ FDWHJRULHV SUHYLRXVO\ XVHG LQ
WKH ,3('6 +5 VXUYH\ 7KH FKDQJH ZDV UHTXLUHG WR DOLJQ WKH ,3('6 +5 FDWHJRULHV ZLWK WKH 6WDQGDUG
2FFXSDWLRQDO &ODVVLILFDWLRQ 62& 6\VWHP)RU DGGLWLRQDO LQIRUPDWLRQ RQ WKH ,3('6 +5 VXUYH\ DQG KRZ LW
UHODWHV WR WKH 62& V\VWHP UHIHU WR WKH VHFWRU RI WKH ,3('6 +5 LQVWUXFWLRQV FDOOHG 5HSRUWLQJ 6WDII E\
2FFXSDWLRQDO &DWHJRU\
\$ IHZ RWKHU FKDQJHV ZHUH PDGH WR WKH ,3('6 +5 VXUYH\ WKDW LV DSSOLFDEOH WR GHJUHH JUDQWLQJ LQVWLWXWLRQV
7KHVH FKDQJHV FDQ EH IRXQG LQ WKH VHFWRU RI WKH ,3('6 +5 LQVWUXFWLRQV FDOOHG &KDQJHV LQ 5HSRUWLQJ
6XUYH\ EHFDPH SDUW RI WKH ,3('6 6SULQJ GDWD FROOHFWLRQ

5HVRXUFHV

7KH 1(: ,3('6 +5 62& ,QIRUPDWLRQ &HQWHU FDQ EH IRXQG DW WKH IROORZLQJ ZHEVLWH
KWWS : QFHV HG JRY LSHGV UHVRXUFH VRF DVS 7KH QHZ FHQWHU LQFOXGHV EXW LV QRW OLPLWHG WR WKH IROORZLQJ OLQNV
1HZ ,3('6 2FFXSDWLRQDO &DWHJRULHV DQG 62& FURVVZDON ,3('6 +5 VXUYH\
PDWHULDO 6WDQGDUG 2FFXSDWLRQDO &ODVVLILFDWLRQ 62& 6\VWHP PDWHULDO ,3('6 +5 62& %URZVH 7RRO DQG
:HE 7XWRULDOV
7R GRZQORDG WKH VXUYH\ PDWHULDOV IRU WKLV FRPSRQHGW 6XUYH\ ODWHULDOV

'DWD UHSRUWLQJ UHPLQGHUV

(QWHU GDWD RQ HDFK GLVSOD\HG VFUHHQ ,I D VFUHHQ LV QRW DSSOLFDEOH HQWHU DW OHDVW RQH]HUR LQ D ILHOG RQ WKH
VFUHHQ
5HSRUW VWDII PHPEHUV GLIILFXOW WR FDWHJRUL]H LQ WKH 3+XPDQ 5HVRXUFHV 6XUYH\ (YDOXDWLRQ' ER[DW WKH HQG RI
WKH VXUYH\

<RX PXVW HQWHU GDWD RQ HDFK GLVSOD\HG VFUHHQ ,I D VFUHHQ LV QRW DSSOLFDEOH \RX PXVW HQWHU DW OHDVW RQH]HUR LQ D ILHOG
RQ WKH VFUHHQ

7R DFFHVV \RXU SULRU \HDU GDWD VXEPLVVLRQ IRU WKLV FRPSRQHGW 5HSRUWHG 'DWD

,I \RX KDYH TXHVWLRQV DERXW FRPSOHWLQJ WKLV VXUYH\ SOHDVH FRQWDFW WKH ,3('6 +HOS 'HVN DW

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

+XPDQ 5HVRXUFHV 6FUHHQLQJ 4XHVWLRQV

'RHV \RXU LQVWLWXWLRQ KDYH DQ\ SDUW WLPH VWDII"

*If you answer **Yes** to this question, you will be provided the screens to report part-time staff.*

		<HV		1 R
'RHV \RXU LQVWLWXWLRQ KDYH RU PRUH IXOO WLPH VWDII"				
		<HV		

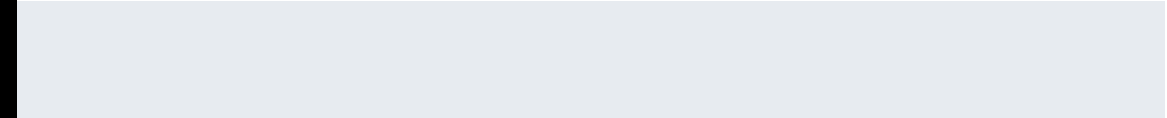
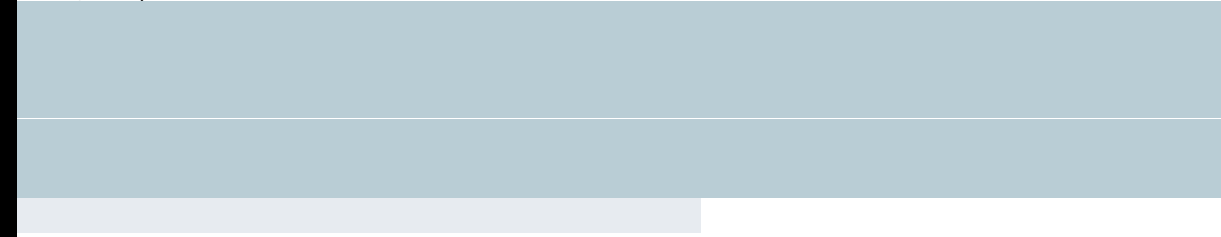
,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

+XPDQ 5HVRXUFHV 6FUHHQLQJ 4XHVWLRQV

1DWLYH						
+DZDLLDQ RU						
2WKHU 3DFLILF						
,VODQGHU						
:KLWH						
7ZR RU PRUH						
UDFHV						
5DFH DQG						
HWKQLFLW\						
XQNQRZQ						
7RWDO ZRPHQ						

WLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
U , ' 3

W \$)XOO WLPH LQVWUXFWLRQDO VWDII



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW %)XOO WLPH QRQ LQVWUXFWLRQDO VWDII
1XPEHU RI IXOO WLPH QRQ LQVWUXFWLRQDO VWDII
E\ RFFXSDWLRQDO FDWHJRU\ JHQGHU DQG UDFH HWKQLFLW\

\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR

5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\

*HQQGHU DQG UDFH HWKQ 3RVVHFRQGDU\ 7HDFKHUV

,QVWUXFWLRQDO 6W VWDII UFK

FDUULHG IRUZDUG

IURP 3DUW \$

5DFH DQG HWKQLFLW\ XQNQRZQ

7RWDO ZRPHQ

,QVWLWXWLRQ 8QLYHU
8VHU , ' 3

3DUW %)XOO WLPH Q

\$V RI 1RYHPEHU

2FFXSDW 7HQXUHG
FDWHJRL

FX 7RW 00DWXV
FW

3RVVHFRQGDU\
7HDFKHUV
5HVHDUFK
3RVVHFRQGDU\
7HDFKHUV
3XEOLF 6HUFLH
\$UFKLYLVVV
&XUDWRUV DQG
0XVHP
7HFKQLFLDQV
/LEUDULDQV

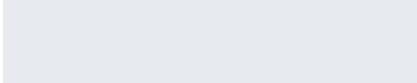
/LEUDU\
7HFKQLFLDQV

2WKHU
7HDFKHUV DQG
,QVWUXFWLRQDO
6XSSRUW 6WDII
0DQDJPHQW
2FFXSDWLRQV
%XVLQHVV DQG
)LQDQFLDO
2SHUDWLRQV
2FFXSDWLRQV

J
H
V

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW &)XOO WLPV VXPPDU\ QRQ PHGLFDO



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW * 6DODU\ RXWOD\ V IRU IXOO WLPH QRQ LQVWUXFWLRQDO VWDII
6DODU\ RXWOD\ V IRU IXOO WLPH QRQ PHGLFDO QRQ LQVWUXFWLRQDO VWDII
E\ RFFXSDWLRQDO FDWHJRU\

\$V RI 1RYHPEHU

2FFXSDWLRQDO FDWHJRU\ ? 1XPEHU ? 7RWDO VDODU\ RXWOD\
IXOO WLPH VWDII

3RVVHFRQGDU\ 7HDFKHUV 5HVHDFK

3RVVHFRQGDU\ 7HDFKHUV 3XEOLF 6HUULFH

/LEUDU\ DQG ,QVWUXFWLRQDO 6XSSRUW 2FFXSDWLRQV

0DQDJHPHQW 2FFXSDWLRQV



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW ' 3DUW WLPV VWDII

1XPEHU RI SDUW WLPV VWDII

E\ RFFXSDWLRQDO FDWHJRU\ JHQGHU DQG UDFH HWKQLFLW\

\$V RI 1RYHPEHU

5HSRUW +LVSDQLF /DWLQR LQGLYLGXDOV RI DQ\ UDFH DV +LVSDQLF /DWLQR

5HSRUW UDFH IRU QRQ +LVSDQLF /DWLQR LQGLYLGXDOV RQO\

*HQQGHU DQG UDFH HW

3RVVWHFRQGDU\ 7HDFKHUV

,QVWUXFWLRQDO 65WDVH DUFK

3XEOLF 6HUFLFH

0HQ

1RQUHVLGHQW DOLHQ

+LVSDQLF /DWLQR

\$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH

\$VLDQ

%ODFN RU \$IULFDQ \$PHULFDQ

1DWLYH +DZDLLDQ RU 2WKHU 3DFLILF

,VODQGHU

:KLWH

7ZR RU PRUH UDFHV

5DFH DQG HWKQLFLW\ XQNQRZQ

7RWDO PHQ

:RPHQ

1RQUHVLGHQW DOLHQ

+LVSDQLF /DWLQR

\$PHULFDQ ,QGLDQ RU \$ODVND 1DWLYH

\$VLDQ

%ODFN RU \$IULFDQ \$PHULFDQ

7RWDO ZRPHQ			
7RWDO PHQ ZRPHQ			

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3DUW ' 3DUW WLPH VWDII

[Solid Blue]				
[Solid Blue]				
[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]
[Solid Orange]				
[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]
[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]
[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]
[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]
[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]
[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]
[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]
[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]	[Light Gray]
[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]	[Light Blue]

1DWLYH				
+DZDLLDQ RU				
2WKHU 3DFLILF				
,VODQGHU				
:KLWH				
7ZR RU PRUH				
UDFHV				



,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

1DWLYH				
+DZDLLDQ RU				
2WKHU 3DFLILF				
,VODQGHU				
:KLWH				
7ZR RU PRUH				
UDFHV				
5DFH DQG				
HWKQLFLW\				
XQNQRZQ				

%ODFN RU						
\$IULFDQ						
\$PHULFDQ						
:KLWH						
7ZR RU PRUH						
UDFHV						
5						
H						
X						
7RWDO ZRPHQ						



,QVV
8VH QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ

3DU LPH VWDII

1XPEHU RI SDUW WLPH VWDII
E\ WHQXUH VWDWXV DQG RFFXSDWLRQDO FDWHJRU\

\$V

2F 7HQXUHG 2Q 7HQXUH 7 1RW RQ 7HQXUH 7UDFN :LWKR~~XW~~)DFX 7RW ~~D~~ DWXV
0XOWL \HDU FRQWURO FRQWURO WKDQ DQQXDO FRQWUDFW

3R 7HDFKHUV

JHGLW

RW IRU FUHGLW

&RPPXQLW\ 6HUylFH /HJDO \$UWV DQG 0HGLD	
/LEUDU\ DQG ,QVWUXFWLRQDO 6XSSRUW	
+HDOWKFduH 3UDFWLWLRQHUV DQG 7HFKQLFDO	
7RWDO	

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

1DWLYH							
+DZDLLDQ							
2WKHU 3DFLILF							
,VODQGHU							
:KLWH							
7ZR RU PPH							
UDFHV							
5DFH DQG							
HWKQLFLV							
XQNQRZQ							
7RWDO ZRPHQ							
7RWDO							
PHQ ZRPHQ							⚠

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVE XUJ
8VHU , ' 3

3DUW + 1HZ +LUHV)XOO WLPV VWDII



:KLWH				
7ZR RU PRUH UDFHV				
5DFH DQG HWKQLFLW\				
XQNQRZQ				
7RWDO ZRPHQ				
7RWDO PHQ ZRPHQ				

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

+XPDQ 5HVRXUFHV 6XUYH\ (YDOXDWLRQ
:HUH DQ\ VWDII PHPEHUV GLIILFXOW WR FDWHJRUL]H" ,I VR SOHDVH H[SODLQ LQ WKH ER[EHORZ

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ
8VHU , ' 3

3UHSDUHG E\

7KLV VXUYH\ FRPSRQHGW ZDV SUHSDUHG E\

.H\KROGH)\$ &RQWDFW &RQWDFW)LQDQFH &RQWDFW 2WKHU

1DPH
[REDACTED] .HYLQ)UHQ]HO

(PDI
[REDACTED] NIUHQ]HO#XVI HGX

+RZ ORQJ GLG LW WDNH WR SUHSDUH WK WRYMMVH\ PLQXWHV
FRPSRQHGW"

7KH QDPH RI WKH SUHSDUHU LV EHLQJ FROOHFWHG VR WKDW ZH FDQ ROORZ XS ZLWK WKH DSSURSULDWH SHUVRQ LQ WKH HYHQW WKDW WKHUH
DUH TXHVWLRQV FRQFHUQLQJ WKH GDWD 7KH .H\KROGHU ZLOO EH FRSLHG RQ DOO HPDLO FRUHVSRQGHQFH WR RWKHU SUHSDUHU
7KH WLPH LW WRRN WR SUHSDUH WKLV FRPSRQHGW LV EHLQJ FROOHFWHG VR WKDW ZH FDQ FRQLQXH WR LPSURYH RXU HVWLPDWH RI WKH
UHSRUWLQJ EXUGHQ DVVRFLDWHG ZLWK ,3('6 3OHDVH LQFOXGH LQ \RXU HVWLPDWH WKH WLPH LW WRRN IRU \RX WR UHYLHZ LQVWUXFWLRQV
TXHU\ DQG VHDFK GDWD VRXUFHV FRPSOHWH DQG UHYLHZ WKH FRPSRQHGW DQG VXEPLW WKH GDWD WKURXJK WKH 'DWD &ROOHFWLRQ
6\VVHP
7KDQN \RX IRU \RXU DVVLVWDQFH

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W8\BHHUWHU\BEXUJ

6XPPDU\

+XPDQ 5HVRXUFHV &RPSRQHGW 6XPPDU\

\$SSOLFDEOH WR 'HJUHH JUDQWLQJ LQVWLWXWLRQV DQG 5HODWHG \$GPLQLVWUDWLYH 2IILFHV

,3('6 FROOHFWV LPSRUWDQW LQIRUPDWLRQ UHJDUGLQJ \RXU LQVWLWXWLRQ \$OO GDWD UHSRUWHG LQ ,3('6
VXUYH\ FRPSRQHGWV EFRPH DYDLODEOH LQ WKH ,3('6 'DWD &HQWHU DQG DSSHU DV DJJUHJDWHG GDWD
LQ YDULRXV 'HSDUWPHQW RI (GXFDWLRQ UHSRUWV \$GGLWLRQDOO\ VRRPH RI WKH UHSRUWHG GDWD DSSHU
VSHFLILFDOO\ IRU \RXU LQVWLWXWLRQ WKURXJK WKH &ROOHJH 1DYLJDWRU ZHEVLWH DQG LV LQFOXGHG LQ \RXU
LQVWLWXWLRQ\ 'DWD)HHGEDFN 5HSRUW ')5 7KH SXUSRVH RI WKLV VXPPDU\ LV WR SURYLGH \RX DQ
RSSRUWXQLW\ WR YLHZ VRRPH RI WKH GDWD WKDW ZKHQ DFFHSWHG WKURXJK WKH ,3('6 TXDOLW\ FRQWURO
SURFHVV ZLOO DSSHU RQ WKH &ROOHJH 1DYLJDWRU ZHEVLWH DQG RU \RXU ')5 &ROOHJH 1DYLJDWRU LV
XSGDWHG DSSUR[LPDWHO\ WKUHH PRQWKV DIWHU WKH GDWD FROOHFWLRQ SHULRG FORVHV DQG 'DWD)HHGEDFN
5HSRUWV ZLOO EH DYDLODEOH WKURXJK WKH ([37 DQG VHQR WR \RXU LQVWLWXWLRQ\ V &(2 LQ 1RYHPEHU

3OHDVH UHYLHZ \RXU GDWD IRU DFFXUDF\ ,I \RX KDYH TXHVWLRQV DERXW WKH GDWD GLVSOD\HG EHORZ
DIWHU UHYLHZLQJ WKH GDWD UHSRUWHG RQ WKH VXUYH\ VFUHHQV SOHDVH FRQWDFW WKH ,3('6 +HOS 'HVN DW
RU LSHGVBKHOS#UWL RUJ

1XPEHU RI VWDII E\ HPSOR\PHQW VWDWXV DQG RFFXSDWLRQDO FDWHJRU\)DOO

2FFXSDWLRQDO FDWHJRU\	5HSRUWHG YDOXH)7(VWDII
	1XPEHU RI IXOO WLPH	1XPEHU RI VSDUW WLPH	
7RWDO QXPEHU RI VWDII			
3RVVVFHFRQGDU\ 7HDFKHUV			
,QVWUXFWLRQDO 6WDII			
,QVWUXFWLRQ			
([FOXVLYHO\ FUHGLW			
([FOXVLYHO\ QRW IRU FUHGLW			
&RPELQHG FUHGLW QRW IRU FUHGLW			
,QVWUXFWLRQ UHVHDUFK SXEOLF VHUYLFH			
5HVHDUFK			
3XEOLF 6HUYLFH			
/LEUDU\ DQG ,QVWUXFWLRQDO 6XSSRUW 2FFXSDWLRQV			
/LEUDULDQV &XUDWRUV DQG \$UFKLYLVWV			
\$UFKLYLVWV &XUDWRUV DQG 0XVHXP 7HFKQLFLDQV			
/LEUDULDQV			
/LEUDU\ 7HFKQLFLDQV			
2WKHU 7HDFKHUV DQG ,QVWUXFWLRQDO 6XSSRUW 6WDII			
0DQDJHPHQW 2FFXSDWLRQV			
%XVLQHVV DQG)LQDQLDO 2SHUDWLRQV 2FFXSDWLRQV			
&RPSXWHU (QLQHULQJ DQG 6FLHQFH 2FFXSDWLRQV			
&RPPXQLW\ 6HUYLFH /HJDQ \$UWV DQG 0HGLD 2FFXSDWLRQV			
+HDOWKFDUH 3UDFWLWLRQHUV DQG 7HFKQLFLDQ 2FFXSDWLRQV			

,QVWLWXWLRQ 8QLYHUVLW\ RI 6RXWK)ORULGD 6W8V3HW,HUV3EXUJ

(GLW 5HSRUW

+XPDQ 5HVRXUFHV

8QLYHUVLW\ RI 6RXWK)ORULGD 6W 3HWHUVEXUJ

6RXUFH 'HVFULSWLRQ 6HYHULW\5HVROYHQ2SWLRQV

6FUHHQ 6DODULHV IRU QRQ LQVWUXFWLRQDO VWDII

3HUIRORH DPRXQW HQWHUHG LQ 3DUW * IRU 7RWDO VDODU\ RXWOD\ IRU
(GLWDDQDJPHQW 2FFXSDWLRQV LV RXWVLGH WKH
H[SHFWHG UDQJH RI EHWZHHQ DQG EDVHG

